

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Johns et al.**

Serial No.: **10/809,553**

Filed: **March 25, 2004**

For: **Method to Provide Cache  
Management Commands for a DMA  
Controller**

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Group Art Unit: **2181**

Examiner: **Lee, Chun Kuan**

Attorney Docket No.: **AUS920030696US1**

**50170**

PATENT TRADEMARK OFFICE

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

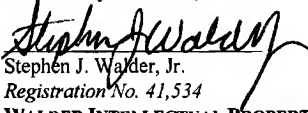
Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement; and
- Form PTO/SB/08.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

  
Stephen J. Walder, Jr.  
Registration No. 41,534

**WALDER INTELLECTUAL PROPERTY LAW, P.C.**  
P.O. Box 832745  
Richardson, Texas 75083  
(214) 722-6419  
ATTORNEY FOR APPLICANTS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached PTO/SB/08 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

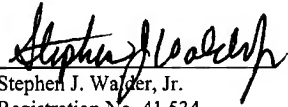
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the

filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: Sept. 27, 2006

  
Stephen J. Walder, Jr.  
Registration No. 41,534  
Walder Intellectual Property Law, P.C.  
P.O. Box 832745  
Richardson, Texas 75083  
(214) 722-6419

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete if Known

Application Number	10/809,553
Filing Date	03/25/2004
First Named Inventor	Johns et al.
Examiner Name	Lee, Chun Kuan
Art Unit	2181
Attorney Docket No.	AUS920030696US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US 6,711,650 B1	03-23-2004	Bohrer et al.	
AB	US 6,636,906 B1	10-21-2003	Sharma et al.	
AC	US 5,822,616	10-13-1998	Junji Hirooka	
AD	US 6,658,537 B2	12-02-2003	Hughes et al.	
AE	US 5,953,538	09-14-1999	Duncan et al.	
AF	US 2003/0154349 A1	08-14-2003	Berg et al.	
AG	US 6,842,822 B2	01-11-2005	Snyder et al.	
AH	US 6,697,916 B2	02-24-2004	Lasserre et al.	
AI	US 6,178,533 B1	01-23-2001	Lawrence L. Chang	
AJ	US 6,247,094 B1	06-12-2001	Kumar et al.	
AK	US 7,010,626	03-07-2006	James Allan Kahle	

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

### NON-PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.